



Express Mailing Label No. EL819963175

PATENT APPLICATION

Docket No: 13861.21.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	Blatter et al.)	
Serial No.:	09/736,937)	
Filed:	December 14, 2000)	Art Unit
Title:	LOCKING COMPRESSION PLATE ANASTOMOSIS APPARATUS)	
Examiner:	_____)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

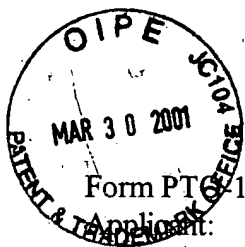
DATED this 30TH day of March, 2001.

Respectfully submitted,



Kevin B. Laurence
Attorney for Applicant
Registration No. 38,219

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Sheet 1 of 11

Applicant:

Blatter et al.

Serial No.:

09/736,937

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COMPRESSION PLATE ANASTOMOSIS
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Att'y Docket No. 13861.21.1

Group: _____

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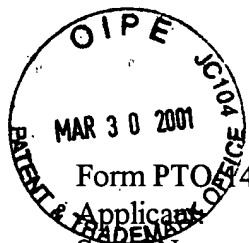
INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____A1	5,893,369	Apr. 13, 1999	LeMole	A61B	17/32	Feb. 24, 1997
_____A2	5,868,763	Feb. 9, 1999	Spence et al	A61B	17/04	Sep. 16, 1996
_____A3	5,861,005	Jan. 19, 1999	Kontos	A61B	17/10	Feb. 11, 1997
_____A4	5,860,992	Jan. 19, 1999	Daniel et al	A61B	17/04	Jan. 31, 1996
_____A5	5,843,027	Dec. 1, 1998	Stone et al	A61M	31/00	Dec. 4, 1996
_____A6	5,830,228	Nov. 3, 1998	Knapp et al	A61M	29/00	May 29, 1996
_____A7	5,779,731	Jul. 14, 1998	Leavitt	A61M	29/00	Dec. 20, 1996
_____A8	5,766,158	Jun. 16, 1998	Opolski	A61M	5/35	May 31, 1996
_____A9	5,732,872	Mar. 31, 1998	Bokduc et al	A61B	17/068	Feb. 6, 1996
_____A10	5,702,412	Dec. 30, 1997	Popov et al	A61B	17/32	Nov. 3, 1995
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_____A13	5,662,700	Sep. 2, 1997	Lazarus	A61F	2/06	Nov. 18, 1994
_____A14	5,662,580	Sep. 2, 1997	Bradshaw et al	A61N	5/00	Feb. 10, 1995
_____A15	5,634,936	Jun. 3, 1997	Linden et al	A61B	17/08	Feb. 6, 1995

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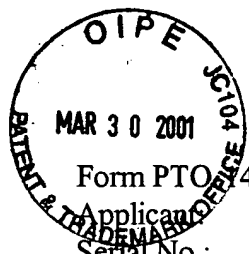
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_____A17	5,616,114	Apr. 1, 1997	Thornton et al.	A61N	5/00	Dec. 8, 1994
_____A18	5,613,979	May 25, 1997	Trotta et al.	A61M	29/02	Nov. 1, 1993
_____A19	5,522,834	Jun. 4, 1996	Fonger et al.	A61M	29/00	Nov. 14, 1994
_____A20	5,478,354	Dec. 26, 1995	Tovey et al.	A61B	17/04	July 14, 1993
_____A21	5,478,320	Dec. 26, 1995	Trotta	A61M	25/00	Jan. 31, 1994
_____A22	5,456,712	Oct. 10, 1995	Maginot	A61F	2/06	Oct. 18, 1993
_____A23	5,411,475	May 2, 1995	Atala et al.	A61M	29/02	Apr. 28, 1993
_____A24	5,366,462	Nov. 22, 1994	Kaster et al.	A61B	17/00	Aug. 6, 1993
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_____A32	4,917,091	Apr. 17, 1990	Berggren et al.	A61B	17/04	Jan. 19, 1988
_____A33	4,917,090	Apr. 17, 1990	Berggren et al.	A61B	17/04	May 24, 1989

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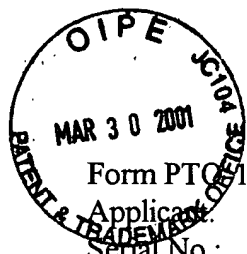
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_____A36	4,873,977	Oct. 17, 1989	Avant et al.	A61B	17/04	Feb. 11, 1987
_____A37	4,848,367	Jul. 18, 1989	Avant et al.	A61B	17/12	Mar. 18, 1988
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_____A39	4,819,637	Apr. 11, 1989	Dormandy, Jr. et al.	A61M	25/00	Sep. 1, 1987
_____A40	4,721,109	Jan. 26, 1988	Healey	A61B	17/04	Apr. 8, 1986
_____A41	4,657,019	Apr. 14, 1987	Walsh et al.	A61B	17/11	Apr. 10, 1984
_____A42	4,607,637	Aug. 26, 1986	Berggren et al.	A61B	17/11	July 22, 1983
_____A43	4,553,542	Nov. 19, 1985	Schenck et al.	A61B	17/11	June 15, 1983
_____A44	4,523,592	Jun. 18, 1985	Daniel	A61B	17/04	Apr. 25, 1983
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Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Class	Sub Class	Trans- lation
_____A47	WO 99/11180	Mar. 11, 1999	PCT	A61B	17/11	
_____A48	WO 98/19634	May 14, 1998	PCT	A61F	2/06	
_____A49	WO 98/19629	May 14, 1998	PCT	A61F	2/06	
_____A50	WO 98/06356	Feb. 19, 1998	PCT	A61F	2/06	
_____A51	WO 97/12555	Apr. 10, 1997	PCT	A61B	17/11	

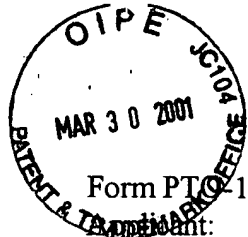
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_____A55	Borst, Cornelius MD, Ph.D, et al, <u>Minimally Invasive Coronary Artery Bypass Grafting: On the Beating Heart and via Limited Access</u> , Ann Thorac Surg, 1997, pp. S1-S5.
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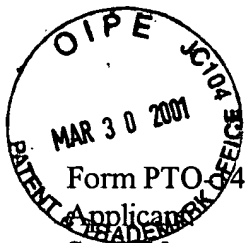
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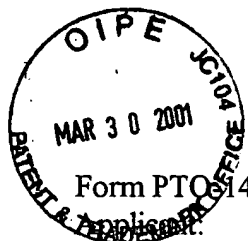
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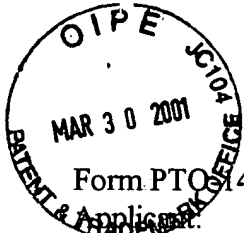
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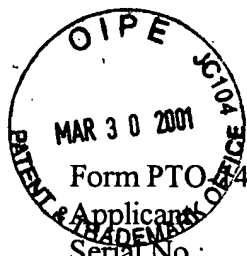
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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



MAR 30 2001

Form PTO-1449

Applicant:

Serial No.:

Filing Date:

Title:

Blatter et al.

09/736,937

December 14, 2000

COMPRESSION PLATE ANASTOMOSIS
APPARATUS

Express Mail Label No. EL819963175US

Sheet 10 of 11

Att'y Docket No. 13861.21.1

Group: _____

Examiner: Not Yet Assigned

- _____ A115 Viligiardi, R. et al., Excimer Laser Angioplasty in Human Artery Disease, Laser Systems for Photobiology and Photomedicine, 1991, pp. 69-72.
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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

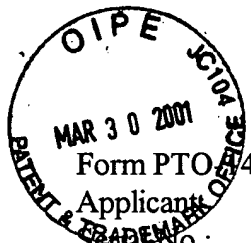
Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

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Sheet 11 of 11

Form PTO-1449

Applicant:

Blatter et al.

Serial No.:

09/736,937

Filing Date:

December 14, 2000

Title:

COMPRESSION PLATE ANASTOMOSIS
APPARATUS

Att'y Docket No. 13861.21.1

Group: _____

Examiner: Not Yet Assigned

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Blatter et al.

Docket No.

13861.21.2

Serial No.

09/736,937

Filing Date

December 14, 2000

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

Invention:

LOCKING COMPRESSION PLATE ANASTOMOSIS APPARATUS

PATENT & TRADEMARK OFFICE
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I hereby certify that this Information Disclosure Statement (including the items listed below)

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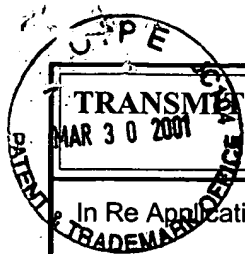
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13861.21.2

In Re Application Of: **Blatter et al.**

Serial No.
09/736,937

Filing Date
December 14, 2000

Examiner
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Group Art Unit
Not Yet Assigned

Title: **LOCKING COMPRESSION PLATE ANASTOMOSIS APPARATUS**

#4

Address to:
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

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Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13861.21.2

In Re Application Of: Blatter et al.

Serial No.
09/736,937

Filing Date
December 14, 2000

Examiner
Not Yet Assigned

Group Art Unit
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Title: **LOCKING COMPRESSION PLATE ANASTOMOSIS APPARATUS**

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